EXHIBIT A



Inventor: Dexu ZHU, et al.

Atty Docket No.: 524022000100

Application No.: 09/975,136 Filing Date: October 10, 2001
Title: METHODS AND COMPOSITIONS FOR TREATING OR PREVENTING

BACTERIAL INFECTION

CTS RECEIVED

Documents Filed:

Transmittal (1 page)

FEB 12 2004

Fee Transmittal (1 page, plus duplicate)

Part B - Fee(s) Transmittal (1 page)

Certified Copy of Priority Document

MUHHISON & FOERSTER SAN DIEGO

FEB U 6 2004 32

Via: First Class Mail

Sender's Initials: PC/db

(A)

Date: February 4, 2004

PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/975,136 Filing Date October 10, 2001 TRANSMITTAL First Named Inventor FORM . Dexu ZHU Art Unit 1621 (to be used for all correspondence after initial filing) **Examiner Name** P. Zucker Attorney Docket Number 4 + 1 524022000100 Total Number of Pages in This Submission PRIORITY DOC ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form (1 page, plus Drawing(s) to Group duplicate) Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request identify below): Part B- Fee(s) Transmittal (1 page) **Express Abandonment Request** Request for Refund Return Receipt Postcard CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application **CUSTOMER NO. 25225** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT MORRISON & FOERSTER LLP Firm Peng Chen - 43,543 Individual name Signature Date February 4, 2004 I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. (Diane Blevins)

JUN 2 7 2005 JUN 2 / ZUW Ap, J for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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for FY	['] 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 965.00

Complete if Known				
Application Number	09/975,136			
Filing Date	October 10, 2001			
First Named Inventor	Dexu ZHU			
Examiner Name	P. Zucker			
Art Unit	1621			
Attorney Docket No.	524022000100			

Telephone (858) 720-5117

Date

February 4, 2004

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit Money Other None	3. ADDITIONAL FEES						
X Deposit Account:	1						
Deposit		Entity		II Entity	· 		
Account 03-1952	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number	1	(+)					
Deposit Account Morrison & Foerster LLP	1051	130	2051	65	Surcharge – late filing fee or oath		
Name The Director is authorized to: (check all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheat.		
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English specification		
X Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	. 1	
H	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840°	1805	1,840	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month		
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month		
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for really within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
	1452	110	2452	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 0.00	1453	1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	665.00	
Extra Fee from Claims below Fee Pald	1502	480	2502	240	Design issue fee		
Total Claims -20** = x =	1503	640	2503	320	Plant issue fee		
Independent -3**= x =	1460	130	1460	130	Petitions to the Commissioner		
Claims	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
	1806	180	1806	180	Submission of Information Disclosure Stmt		
Large Entity Small Entity Fee Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Fiting a submission after final rejection (37 CFR 1.129(a))		
1201 86 2201 43 Independent claims in excess of 3	1810	770 .	2810	385	For each additional invention to be		
1203 290 2203 145 Multiple dependent claim, if not paid		770	2801		examined (37CFR 1.129(b)) Request for Continued Examination (RCE)		
1204 86 2204 43 ** Reissue independent claims over original patent	1801				Request for expedited examination		
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	300	of a design application		
and over original patent	Other fe	e (spec	ify)		Publication fee for early, voluntary, or normal publication	300.00	
SUBTOTAL (2) (5) 0.00	*Reduc	ed by B	asic Fil	ing Fee	Paid SUBTOTAL (3) (\$)	965.00	
**or number previously paid, if greater, For Reissues, see above							
WITTED BY (Complete (if applicable))							

Registration No.

(Attorney/Agent)

43,543

Signature

SUBMITTED BY

Name (Print/Type) Peng Chen

d for use through 7/31/2006. OMB 0651-0032 ΑL

(Complete (if applicable))

Telephone (858) 720-5117

February 4, 2004

2001

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Art Unit

0	Complete if Kno		
REETRANSMITTAL	Application Number	09/975,136	
TADES TO COO A	Filing Date	October 10	
for FY 2004	First Named Inventor	Dexu ZHU	
Effective 10/01/2003, Patent fees are subject to annual revision.	Examiner Name	P. Zucker	
7		1621	

Applicant claims small entity status. See 37 CFR 1.27

27th

524022000100 Attorney Docket No. 965 00 TOTAL AMOUNT OF PAYMENT (\$) FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) Duplicate Copy For Fee Processing Credit 3. ADDITIONAL FEES None Check Order X | Deposit Account: Small Entity Large Entity Deposi Fee Fee Fee Fee Description 03-1952 Fee Paid Account (\$) Code Code (\$) Number Surcharge - late filing fee or oath 2051 65 1051 130 Deposit Morrison & Foerster LLP Surcharge - late provisional filing fee or cover Account 50 2052 25 Name 1052 sheet. The Director is authorized to: (check all that apply) 130 Non-English specification 130 1053 1053 X Credit any overpayments X Charge fee(s) indicated below 2,520 For filing a request for ex parte reexamination 1812 1812 2,520 Charge any additional fee(s) or any underpayment of fee(s) х Requesting publication of SIR prior to 920* 9201 1804 1804 Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1,840* 1805 1.840 1805 to the above-identified deposit account Examiner action Extension for reply within first month 110 2251 1251 **FEE CALCULATION** Extension for reply within second month 2252 420 1252 1. BASIC FILING FEE Extension for reply within third month 2253 1253 950 Small Entity Large Entity Extension for reply within fourth month Fee Paid Fee Description 2254 1 480 Fee Fee Fee 1254 Code (\$) Code (\$) 1,005 Extension for reply within fifth month 2,010 2255 1255 2001 385 Utility filing fee 1001 770 Notice of Appeal 2401 165 1401 330 340 2002 170 Design filing fee 1002 Filing a brief in support of an appeal 2402 165 1402 330 Plant filing fee 2003 265 530 1003 Request for oral hearing 290 2403 1403 Reissue filing fee 2004 385 1004 770 1,510 Petition to institute a public use proceeding 1,510 1451 1451 Provisional filing fee 1005 160 2005 80 Petition to revive - unavoidable 2452 1452 110 0:00 SUBTOTAL (1) (\$) Petition to revive - unintentional 1453 1,330 2453 665 665.00 Utility issue fee (or reissue) 1501 1,330 2501 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Design issue fee Extra Fee from 480 2502 240 1502 Fee Paid below Claims Plant issue fee 320 2503 1503 640 **Total Claims** -20** = Petitions to the Commissioner 1460 130 1460 130 Independent -3** = Claims Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 Multiple Dependent Submission of Information Disclosure Stmt 1806 180 1806 180 Small Entity Large Entity Recording each patent assignment per 40 8021 Fee Fee 8021 ΔO property (times number of properties) Fee Description (\$) Code (\$) Code Filing a submission after final rejection Claims in excess of 20 770 2809 385 2202 9 1809 18 1202 (37 CFR 1.129(a)) Independent claims in excess of 3 2201 For each additional invention to be 1201 86 770 2810 385 1810 examined (37CFR 1.129(b)) 145 Multiple dependent claim, if not paid 1203 2203 290 Request for Continued Examination (RCE) 770 2801 385 1801 2204 ** Reissue independent claims 86 43 1204 Request for expedited examination over original patent 900 1802 900 1802 of a design application ** Reissue claims in excess of 20 2205 1205 18 Publication fee for early, voluntary, or 300.00 and over original patent 1504 Other fee (specify) normal publication 965.00 SUBTOTAL (3) (\$) *Reduced by Basic Filing Fee Paid 0.00 SUBTOTAL (2) (\$) **or number previously paid, if greater, For Reissues, see above

Registration No.

(Attorney/Agent)

43,543

SUBMITTED BY

Signature

Name (Print/Type) Peng Chen

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with

licable fee(s), to: Mail

Mail Stop ISSUE F Commissioner for I. Ints

P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee politications. maintenance fee notifications

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11/04/2003

MORRISON & FOERSTER LLP 3811 VALLEY CENTRE DRIVE SUITE 500 SAN DIEGO, CA 92130-2332



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Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)	Diane Blevins	
(Signature)	1)10 132	
(Date)	February 4, 2004	

	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
APPLICATION NO.	FILING DATE		524022000100	8877
09/975,136	10/10/2001	Dexu Zhu	324022000100	
09/9/13,130	10.10.1	compatit	NECCTION	

TITLE OF INVENTION: METHODS AND COMPOSITIONS FOR TREATING OR PREVENTING BACTERIAL INFECTION

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